

09/19/03
16152 U.S. PRO

Anticipated Classification
of this Application:
Class _____ Subclass _____

Prior Application:
Attorney's Docket No.: 48332-B JPW/AJM
Examiner: A. DeCloux
Group Art Unit: 1659

Mail Stop Patent Application
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

September 19, 2003

S I R:

This is a request for filing a _____ CONTINUATION ☒ DIVISIONAL
_____ CONTINUATION IN PART application under ☒ 37 C.F.R. §1.53(b),
of pending prior application Serial No. 08/610,220 filed on March 4,
1996 of

Carol M. Troy inventor(s)

for Compounds Which Prevent Neuronal Cell Death and Uses Thereof
Title of Invention

1. ☒ Enclosed is a copy of the prior application.
2. ☒ A verified statement to establish small entity status under
37 C.F.R. § 1.27
_____ is enclosed.
☒ was filed in the prior application and such status is
still proper and desired.
3. ☒ The filing fee is calculated as follows:

CLAIMS AS FILED, LESS ANY CLAIMS CANCELLED BY AMENDMENT								
	NUMBER FILED		NUMBER EXTRA*		RATE		FEE	
					SMALL ENTITY	OTHER ENTITY	SMALL ENTITY	OTHER ENTITY
Total Claims	7-20		0	X	\$ 9	\$ 18	\$ 0	\$ 0
Independent Claims	1-3		0	X	\$ 42	\$ 84	\$ 0	\$ 0
Multiple Dependent Claims Presented _____ Yes <input checked="" type="checkbox"/> No					\$140	\$280	\$ 0	\$ 0
*If the difference in Col. 1 is less than zero, enter "0" in Col. 2					BASIC FEE		\$ 375	\$ 750
					TOTAL FEE		\$ 375.00	

22141 U.S. PRO
10/665668
09/19/03

4. X The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 03-3125.
- X Any additional filing fees required under 37 C.F.R. \$1.16.
- X Any patent application processing fees under 37 C.F.R. \$1.17.
5. X Three copies of this sheet are enclosed.
6. X A check in the amount of \$ 375.00 is enclosed.
7. Cancel claims .
8. Amend the specification by inserting before the first line the following sentence: --This is a continuation of application Serial No. , filed , which is a continuation of Serial No. , filed , now United States Patent No. , issued .--
9. X 9 Sheet(s) of X informal formal drawing(s) is/are enclosed.
10. Transfer the drawings from the prior application to this application and abandon said prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file.
11. Priority of application No. filed on in is claimed under 37 C.F.R. \$119.
- The certified copy of the priority application has been filed in prior application Serial No. , filed .
12. X The prior application is assigned of record to The Trustees of Columbia University in the City of New York.
13. X A preliminary amendment is enclosed.
14. X The power of attorney in the prior application is to:

John P. White (Reg. No. 28,678); Thomas F. Moran, (Reg. No. 16,579); Norman H. Zivin (Reg. No. 25,385); Ivan S. Kavrukov (Reg. No. 25,161); Christopher C. Dunham (Reg. No. 22,301); Thomas G. Carulli (Reg. No. 30,616); Robert D. Katz (Reg. No. 30,141); Peter J. Phillips (Reg. No. 29,691); Richard S. Milner (Reg. No. 33,970); Albert Wai-Kit Chan (Reg. 36,479); Lewis J. Kreisler (Reg. No. 38,522); Kristina L. Konstas (Reg. 37,869); and Mary Anne P. Tanner (Reg. No. 40,197).

- (a) The power appears in the original papers in the prior application.
- (b) X Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.
- (c) X Address all future correspondence to:

John P. White
Cooper & Dunham LLP
1185 Avenue of the Americas
New York, New York 10036
Tel.: (212) 278-0400

15. X Also enclosed: Express mail Certificate of Mailing No. EV325708646 dated September 19, 2003.
16. X I hereby verify that the attached papers are a true copy of prior application serial No. 08/610,220 as originally filed on March 4, 1996.

The undersigned declares further that all statements made herein of his own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statement and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Dated: 9/19/03

Respectfully submitted,

John P. White
Registration No. 28,678
Alan J. Morrison
Registration No. 37,399
Attorney for Applicant
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Carol M. Troy
Serial No : Not Yet Known
Filed : Herewith
For : COMPOUNDS WHICH PREVENT NEURONAL DEATH AND USES THEREOF

1185 Avenue of the Americas
New York, New York 10036
September 19, 2003

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

**EXPRESS MAIL CERTIFICATE
OF MAILING FOR ABOVE-IDENTIFIED APPLICATION**

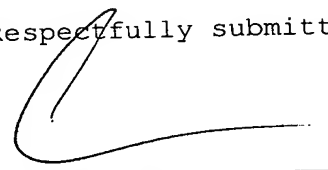
"Express Mail" mailing label number: EV 325708646 US

Date of Deposit: September 19, 2003 I hereby certify that this paper or fee is being deposited to the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450:

Printed Name:

TRW *ISCOA*

Respectfully submitted,



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